

### Functional Behavior Assessment/Progress Report

#### I. IDENTIFYING INFORMATION

Patient's Last/First Name:					
Patient's Date of Birth:					
Patient's age:	FBA	PR 1	PR 2	PR 3	PR 4
Patient's Diagnosis:					
Legal Guardian's Name:					
Legal Guardian's Phone:					
Home language:					
Service Address:					
Health Plan Name:	<b>L.A. Care Health Plan</b>				
Medical ID#:					
PCP Name:	FBA	PR 1	PR 2	PR 3	PR 4
PCP's phone number:	FBA	PR 1	PR 2	PR 3	PR 4
10 day timeline is met: (Y/N)	FBA	PR 1	PR 2	PR 3	PR 4
Date of first available appointment offered:					
Date of Report:	FBA	PR 1	PR 2	PR 3	PR 4
The Business Name of the Provider:	FBA	PR 1	PR 2	PR 3	PR 4
QAS Provider's Name and Credentials:	FBA	PR 1	PR 2	PR 3	PR 4
Mid-level/BA level; supervisor's name and credentials:					
Provider Contact Phone Number:	FBA	PR 1	PR 2	PR 3	PR 4
Percent of Session Cancelations by Parent:	FBA	PR 1	PR 2	PR 3	PR 4
Percent of Session Cancelations by Provider:	FBA	PR 1	PR 2	PR 3	PR 4

Member's name

**II. REASON FOR REFERRAL**

Source of referral	Reason for referral
<input type="checkbox"/> L.A. Care Health Plan <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Other:	

**III. BACKGROUND INFORMATION**

**A. Family structure**

	FBA	PR 1	PR 2	PR 3	PR 4
Primary Care taker					
Home language					
Number of people living in the household					
Space to hold the sessions					
Level of environmental enrichment					
Recent changes in the household					
Department of Child and Family Services (DCFS) Involvement (if applicable)					
Placement in foster/group home					

**B. Caregiver/Member availability for Caregiver Education (Time frame)**

	FBA	PR 1	PR 2	PR 3	PR 4
Monday					
Tuesday					
Wednesday					
Thursday					
Friday					
Saturday					
Sunday					

Member's name

**C. Member's availability**

	FBA	PR 1	PR 2	PR 3	PR 4
Monday					
Tuesday					
Wednesday					
Thursday					
Friday					
Saturday					
Sunday					

**D. Physical and mental health history**

	FBA	PR 1	PR 2	PR 3	PR 4
Medical or Physical Problems					
Allergies					
Gender Specific conditions that could impact treatment					
History of hospitalizations and recent injures					
Medications					
Vision and hearing issues					
Sleeping difficulties					
Food selectivity/refusal					
Swallowing food or liquids issues					

**E. Current or prior home or outpatient services**

Type of Service	Number of Treatment Hours per Week	Dates of Service	Provider

**F. Mandatory information - school history and current school based services**

	FBA	PR 1	PR 2	PR 3	PR 4		
School Name							
School Start and End times							
School District							
Grade							
Special Education Eligibility							
Date of initial IEP (if available)							
Date of the most recent IEP							
Due date for next IEP							
Did the BCBA attend the IEP in the last reporting period?							
Did the BCBA coordinate care with the school, in the last reporting period? If so, explain.							
Are the services identified in the IEP being provided? Identify any barriers, if any.							
Plans to address any IEP barriers (If applicable)							
Name and contact information of the service provider(s) (funded by IEP) in the school setting (If applicable)							
<b>Current placement (please check the appropriate box)</b>	<b>FBA</b>	<b>PR 1</b>	<b>PR 2</b>	<b>PR 3</b>	<b>PR 4</b>	<b>PR5</b>	<b>PR6</b>
• Fully included in a general education classroom							
• General education class with Resource Specialist Support							
• Special Day Program Class with inclusion in general education classes							

• Special Day Program Class with inclusion only during school wide activities							
• Special Education Center							
• Non-Public School Placement (e.g., Help Group)							
Parental concerns related to client's behaviors and academic performance at school	<b>FBA</b>						
	<b>PR 1</b>						
	<b>PR 2</b>						
	<b>PR 3</b>						
	<b>PR 4</b>						
<b>If school observation is conducted</b> , teacher concerns related to client's behaviors and academic performance at school							

**G. Special Education Related Services Provided at school**

➤ For each type of school based service the client receives at the time of the report indicate the number of minutes/hours per week							
	<b>FBA</b>	<b>PR 1</b>	<b>PR 2</b>	<b>PR 3</b>	<b>PR 4</b>	<b>PR5</b>	<b>PR6</b>
Language and Speech (LAS)							
Occupational Therapy (OT)							
Adaptive Physical Education (APE)							
Physical Therapy (PT)							
Behavior Intervention Consultation (BIC)							
Behavior Intervention Development (BID)							
Behavior Intervention Implementation (BII)							
Deaf and Hard of Hearing (DHH)							
DIS Counseling (Counseling provided by the school psychologist)							
Mental Health Counseling							
Assistive Technology (AT)							
Audiology (AUD)							

Member's name

Orientation and Mobility (O and M)							
Orthopedic Impairment Itinerant (OI)							
Recreational Therapy (RT)							
Visual Impairment Itinerant (VI)							
Other:							

**H. Mandatory information - Care Coordination Involving the Parents or Caregiver(s), School, State Disability Programs and Others as Applicable**

<b>FBA</b>	
<b>PR1</b>	

**IV. CLINICAL INTERVIEW**

**A. Parental concerns and priorities**

	<b>Problem behaviors</b>	<b>Clinical rationale if not addressed during current reporting period</b>	<b>Skill Deficits</b>	<b>Clinical rationale if not addressed during current reporting period</b>
<b>FBA</b>				
<b>PR1</b>				

**V. DIRECT ASSESSMENT PROCEDURES/PROGRESS MONITORING RESULTS**

**A. Data collection methods**

	<b>Dates of data collection</b>	<b>Data Collection Method(s)</b>	<b>Location of data collection</b>	<b>Person(s) collecting data and credentials</b>
<b>FBA</b>				
<b>PR 1</b>				

**VI. PREFERENCE ASSESSMENT (PA)**

Date of most recent PA	Type of PA	List of most preferred stimuli (must be updated every 6 months)
	<input type="checkbox"/> Survey/caregiver interview <input type="checkbox"/> Paired choice <input type="checkbox"/> Single Stimulus <input type="checkbox"/> MSWO <input type="checkbox"/> Free Operant Engagement Based	

**VII. Insert Test Tables and Visual Representation of Client Profile below (e.g., VB-MAPP, Vineland, AFFLS, etc.) with the date it was last administered. (Administered annually)**

**VIII. Identify measurable goals and objectives that are specific, behaviorally defined, developmentally appropriate, socially significant and based on clinical observation. Domains such as prerequisite skills, communication, daily living skills, etc. Use one box per domain**

<b>Domain:</b>			
<b>Target Behavior</b>			
<b>FBA</b>	Baseline level of performance (per goal) based on assessment criteria and clinical observation	Relative strengths Skill Deficits:	
	Individualized measurable goal(s) with estimated date of mastery		
	Generalization criteria		
	Treatment Plan to address the initial goal(s). Evidence based BHT services with demonstrated clinical efficacy /s		
<b>Previous report</b>	Goal 1 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No Goal 2 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No Goal 3 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No	Present level of performance (PLP) based on assessment criteria and clinical observation	



	<p><b>Generality Criteria for :</b>          Goal 1 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No          Goal 2 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No          Goal 3 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No</p>	<p>List the environmental barriers that hindered meeting the goal:</p>	
		<p>Revised or New Individualized measurable goal(s) with estimated date of mastery. Evidence based BHT services with demonstrated clinical efficacy.</p>	
		<p>Generalization criteria</p>	
		<p>Treatment plan (intervention) to address the revised or new goal</p>	
<p><b>Current report</b></p>	<p>Goal 1 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No          Goal 2 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No          Goal 3 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No</p> <p><b>Generality Criteria for :</b>          Goal 1 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No          Goal 2 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No          Goal 3 Met : <input type="checkbox"/>Yes <input type="checkbox"/> No</p>	<p>Present level of performance (PLP) based on assessment criteria and clinical observation</p>	
		<p>List the environmental barriers that hindered meeting the goal:</p>	
		<p>Revised or New Individualized measurable goal(s) with estimated date of mastery. Evidence based BHT services</p>	

		with demonstrated clinical efficacy.	
		Generalization criteria	
		Treatment plan (intervention) to address the revised or new goal	

➤ **Progress Report Graphs:**

**IX. PRESENT LEVELS OF PERFORMANCE FOR PROBLEM BEHAVIORS**

- Complete one table for each problem behavior unless problem behaviors are part of a response class hierarchy
- If you are addressing multiple problem behaviors, copy and paste the problem behavior table and complete the information in the table

Target Problem Behavior	
Operational Definition	
Baseline level (collected by clinician, include a baseline graph) <b>If not observed</b> , please include clinical steps that will be taken once services	

are initiated to design a function based treatment plan.		
Antecedents that are correlated with the problem behavior(s)		
Utilize evidence-based BHT services with demonstrated clinical efficacy to identify the function(s) of behavior ( <b>FA or conditional probability results- AB &amp; BC graph based on direct observation of a clinician</b> )		
FBA	Individualized and Measurable Behavior Reduction Goal(s) with estimated date of mastery. Evidence based BHT services with demonstrated clinical efficacy.	
	Individualized and Measurable Alternative Behavior Goal(s) with estimated date of mastery.	
	Generalization criteria	
	Initial Treatment Plan (function based and technological) to address problem behavior(s). Evidence based BHT services	

	with demonstrated clinical efficacy.		
<b>Previous report</b>	<b>Behavior Reduction</b> Goal Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Present level of performance (PLP) based on assessment criteria and clinical observation	
	<b>Alternative Behavior</b> Goal Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Environmental barriers that hindered meeting the goal and solution:	
	<b>Generality Criteria:</b> Behavior reduction: Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Revised or New Individualized measurable goal(s) with estimated date of mastery	
	Alternative Behavior  Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Generalization criteria	
		Treatment plan to address the revised or new goal(s). Evidence based BHT services with demonstrated clinical efficacy.	
	<b>Behavior Reduction</b> Goal Met: <input type="checkbox"/> Yes <input type="checkbox"/> No  <b>Alternative Behavior</b> Goal Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Present level of performance (PLP) based on assessment criteria and clinical observation	

<b>Current report</b>	<b>Generality Criteria:</b> Behavior reduction: Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Environmental barriers that hindered meeting the goal and solution:	
	Alternative Behavior	Revised or New Individualized measurable goal(s) with estimated date of mastery	
	Met: <input type="checkbox"/> Yes <input type="checkbox"/> No	Generalization criteria	
		Treatment plan to address the revised or new goal(s). Evidence based BHT services with demonstrated clinical efficacy.	

➤ **Baseline and Progress Report Graphs:**

**X. PARENT/GUARDIAN TRAINING**

Support and participation needed to achieve the goals and objectives for both member and guardian

<b>FBA</b>	
<b>PR 1</b>	

**Guardian Training:**

Target skill to be performed by <b>guardian(s)</b>			
Baseline level of performance based on clinical observation			
<b>FBA</b>	Individualized and measurable guardian goal(s) with estimated date of mastery.		
	Generalization criteria		
	Treatment plan to teach the skill identified in the goal. Evidence based BHT services with demonstrated clinical efficacy.		
<b>Previous report</b>	Goal 1 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No Goal 2 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No Goal 3 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No	Present level of performance (PLP) based on clinical observation and measurement	
	<b>Generality Criteria for:</b> Goal 1 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No Goal 2 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No Goal 3 Met : <input type="checkbox"/> Yes <input type="checkbox"/> No	Environmental barriers that hindered meeting the goal and <b>solution:</b>	
		Revised or New individualized and measurable goal(s) with estimated date of mastery.	
		Generalization criteria	

	Treatment plan to address the revised or new goal(s). Evidence based BHT services with demonstrated clinical efficacy.
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➤ **Progress Report Graphs:**

**XI. Summary of overall progress**

<b>SUMMARY AND RECOMMENDATIONS</b>				
	<b>PR 1</b>	<b>PR 2</b>	<b>PR 3</b>	<b>PR 4</b>
How many goals have been met in the last reporting period				
How many goals have not been met and had to be modified				
How many goals have been placed on hold because a member lacked a prerequisite skill				
How many goals will be targeted during the next reporting period				

<b>CLINICAL RATIONALE FOR MODIFICATION OF HOURS</b>
<b>CRISIS PLAN</b>
<b>TRANSITION PLAN</b>

Member's name

**DISCHARGE CRITERIA**

**Note: Please include the following disclaimer in your reports: The content of this report has been thoroughly discussed with client's parent(s). Parent(s) agree with assessment findings, intervention plans, goals, objectives and recommendation. If parents do not agree with any part of your report indicate which parts and the reason for disagreement.**

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**Signature of Qualified Autism Service Provider**

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**Credentials of Qualified Autism Service Provider**

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**Date**